



CERTIFICATE OF MAILING

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Date of Deposit

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Attorney

46,706

Reg. No.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant : Gina L. Timmons et al.
Appln. No. : 10/625,422
Filed : July 23, 2003
Title : METHOD FOR IMPROVING THE ACCURACY
OF TRANSACTION DATA
Docket No. : 036806-437
Art Unit : 3626

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
AND CERTIFICATION UNDER 37 CFR §1.97(e)(1)**

This supplemental information disclosure statement is being filed prior to the issuance of the first Official Action and is accompanied by a Certification Under 37 CFR §1.97(e)(1).

Pursuant to 37 C.F.R. §1.56, the Examiner's attention is directed to the references listed on the attached Information Disclosure Citation.

Each item of information contained in this supplemental information disclosure statement was cited in a communication from the International Searching Authority in corresponding PCT International Application No. PCT/US03/30856 not more than three months prior to the filing of this supplemental information disclosure statement. A copy of the International Search Report issued April 13, 2004, is enclosed herewith for reference.

Appln. No.: 10/625,422
Docket No.: 036806-437
Supplemental Information Disclosure Statement and
Certification Under 37 CFR §1.97(e)(1)

It is to be understood that the present submission of art is in no way intended to be a waiver of any arguments or defenses available to the applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States.

No fee is required. The Commissioner is authorized to charge any additional fees required by this paper or to credit any overpayment to Deposit Account No. 20-0809.

Respectfully submitted:

By: 

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INFORMATION DISCLOSURE CITATION



Docket: 036806-437

Appln. No.: 10/625,422

Applicant: Gina L. Timmons et al.

Filed: July 23, 2003

Group: 3626

U.S. PATENT DOCUMENTS

Examiner		Document No.	Date	Name	Class	Sub	
		5,365,425	11/1994	Torma et al.			
		5,544,044	08/1996	Leatherman			

FOREIGN PATENT DOCUMENT

							Trans	
Examiner		Document No.	Date	Country	Class	Sub	Y	N

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:

Date Considered:

* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To:
THEODORE D. LIENESCH
THOMPSON HINE LLP
2000 COURTHOUSE PLAZA NE
10 WEST SECOND STREET
DAYTON, OH 45402-1758

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT
OR THE DECLARATION

(PCT Rule 44.1)

Date of Mailing
(day/month/year)

13 APR 2004

Applicant's or agent's file reference
036806-437

FOR FURTHER ACTION See paragraphs 1 and 4 below

International application No.
PCT/US03/30856

International filing date
(day/month/year)

30 September 2003 (30.09.2003)

Applicant
OMNICARE, INC.

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report.

Where? Directly to the International Bureau of WIPO, 34, chemin des Colombettes
1211 Geneva 20, Switzerland, Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

- ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
- ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Reminders

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90 *bis*.1 and 90 *bis*.3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.

See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Facsimile No. (703) 305-3230

Authorized officer

Joseph Thomas

Telephone No. (703) 308-1113

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 036806-437	FOR FURTHER ACTION	see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.
International application No. PCT/US03/30856	International filing date (<i>day/month/year</i>) 30 September 2003 (30.09.2003)	(Earliest) Priority Date (<i>day/month/year</i>) 30 September 2002 (30.09.2002)
Applicant OMNICARE, INC.		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 4 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the Report

a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing:



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (See Box II).

4. With regard to the title,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

5. With regard to the abstract,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No. 1



as suggested by the applicant.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.



None of the figures

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/30856

Box III TEXT OF THE ABSTRACT (Continuation of Item 5 of the first sheet)

The technical features mentioned in the abstract do not include a reference sign between parentheses (PCT Rule 8.1(d)).

NEW ABSTRACT

A system for improving the accuracy of pharmacy transactions. A health care organization's (100, Fig. 1) individual health care facilities (104, Fig. 1) are linked to a prescription processing center (400, Fig. 1) of a publicly-held corporate pharmacy ("PCP") (600, Fig. 1) organization, preferably by means of an electronic communication network (414, Fig. 1). The processing center collects, organizes and correlates patient census data from the health care facilities and transaction data from the pharmacies with existing contract data. The collected and organized data forms a dataset that may be used to determine and allocated the charges. Further, the patient and transactions data may be utilized to generate various reports in an electronic format related to the patient, facilities, and pharmacies.

In another embodiment of the present invention, the electronic communications network may be utilized to survey the health care facilities regarding the level of performance and quality of the PCP and associated pharmacies. This information may be organized into reports and then forwarded to appropriate administrative and management personnel at the health care facility and the PCP.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/30856

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 17/60

US CL : 705/2, 3

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 705/2, 3

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Continuation Sheet**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y ✓	U.S. 6,208,973 B1 (BOYER et al.) 27 May 2001 (27.05.2001), column 3, lines 9-37 and column 4, lines 23-36.	1-24
Y ✓	U.S. 5,704,044 (TARTER et al.) 30 December 1997 (30.12.1997), column 13, line 43 to column 14, line 22.	1-24
Y ✗	U.S. 5,544,044 A (LEATHERMAN) 6 August 1996 (06.08.1996), column 2, line 66 to column 3, lines 13.	1-24
Y ✗	U.S. 5,365,425 A (TORMA et al.) 15 November 1994 (15.11.1994), column 2, lines 50-61 and column 3, lines 16-34.	1-24

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	"T"
"A" document defining the general state of the art which is not considered to be of particular relevance	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

12 March 2004 (12.03.2004)

Date of mailing of the international search report

13 APR 2004

Name and mailing address of the ISA/US

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INTERNATIONAL SEARCH REPORT

PCT/US03/30856

Continuation of B. FIELDS SEARCHED Item 3:

EAST, WEST, ProQuest

bill, charge, payor, monetary, pharmacy transactions, electronic network, validating data